

04/09/99  
jc653 U.S. PTO

CCI-004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Chang, et al.

Serial No. [Not yet assigned; this application is  
a continuation of co-pending application  
SN 08/853,290, filed May 7, 1997

Filed: April 9, 1999

For: APPARATUS AND METHOD FOR  
PROVIDING MULTIMEDIA  
MESSAGING BETWEEN DISPARATE  
MESSAGING PLATFORMS

) Art Unit:

) Examiner:

jc530 U.S. PTO  
09/289435  
04/09/99

**CERTIFICATE OF MAILING**

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Date of Deposit: APRIL 9, 1999

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Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Tara Hayden

**CONTINUING APPLICATION TRANSMITTAL LETTER  
37 C.F.R. § 1.53(b)**

Honorable Assistant Commissioner  
for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sir:

This is a request for filing under the Continuing Application Procedure, 37 C.F.R.  
§ 1.53(b); for a continuation application of prior application Serial No. 08/853,290, filed  
May 7, 1997, naming inventors Jack H. Chang and Raymond L. Tong. The prior  
application is assigned of record to Carmel Connection, Inc. Enclosed for filing are 48

pages specification, 18 pages claims, 17 sheets drawings and one page abstract;  
declaration, power of attorneys and assignments, as submitted in the parent application,  
together with the Utility Patent Application Transmittal and a Preliminary Amendment.

The attorney's Docket Number of this application is CCI-004.

Kindly address all communications regarding this application to:

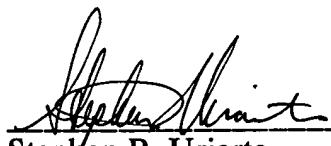
David B. Ritchie  
D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, California 95164-0640  
Telephone (408) 441-1100

No fee is being paid at this time.

A Verified Statement Claiming Small Entity Status was filed in the parent case.

The assignee/owner is still entitled to small entity status.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE

  
\_\_\_\_\_  
Stephen R. Uriarte  
Reg. No. 40,854

Dated: April 9, 1999

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PTO/SB/05 (4/98)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. CCI-004

First Inventor or Application Identifier Jack H. Chang, et al.

Title Apparatus and Method for Providing ...

Express Mail Label No. EL048467551US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 67]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
4. Oath or Declaration [Total Pages 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  - \* Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
13. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Other: Transmittal Letter
15. ☒ Other: Transmittal Letter

\* NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED BY C.F.R. § 1.27, EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON BY C.F.R. § 1.28.

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08 / 853,290

Prior application information: Examiner Daniel Patru Group / Art Unit: 2756

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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Name (Print/Type)	Stephen R. Uriarte	Registration No. (Attorney/Agent)	40,854
Signature		Date	4-9-99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.